## **ORIGINAL PAPER**



# Thermal performance of the European flat oyster, *Ostrea edulis* (Linnaeus, 1758)—explaining ecological findings under climate change

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#### **Abstract**

Climate change challenges marine organisms by constraining their temperature-dependent scope for performance, fitness, and survival. According to the concept of Oxygen and Capacity Limited Thermal Tolerance (OCLTT), the overall thermal performance curve relates to an organism's aerobic power budget, its overall aerobic scope for growth, exercise, reproduction, and other performances. We hypothesize that physiological principles shaping tolerance in extant ecosystems have also been operative during climatic changes in the distant past. To compare response patterns in extant fauna and their palaeo-relatives, we started here by studying the metabolic background of performance in the European flat oyster Ostrea edulis at organismic and cellular levels, focusing on the acute thermal window and the metabolic changes towards upper lethal temperatures. We investigated the response of the oysters (pre-acclimated at 12 °C) to a short-term warming protocol (by 2 °C every 48 h) from 14 to 36 °C which we identified as the lethal temperature. At the organismic level, heart and filtration rates were recorded. Gill metabolites were studied by <sup>1</sup>H NMR spectroscopy to address thermal responses at the cellular level. Feeding activity by O. edulis (assessed by the filtration rates) was highest between 18 and 24 °C when overall energy expenditure (indicated by heart rate as a proxy for routine metabolic rate) was moderate. We conclude that this range reflects the thermal optimum of this species. Beyond 26 °C, the gill tissue of O. edulis became partly anaerobic, and cardiac dysfunction (arrhythmia) developed at 28 °C followed by an Arrhenius break point (30 °C). This mirrors performance constraints and indicates a wide temperature range of passive tolerance which may be a long-standing characteristic of ostreids supporting survival in extreme environments as well as during past and present climate oscillations.

Charlotte Eymann and Sandra Götze were the lead authors contributing equally to this paper.

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## Introduction

Marine ecosystems are currently challenged by increasing atmospheric CO<sub>2</sub> concentrations and associated climate change, which cause ocean warming, acidification, and progressive hypoxia, the latter as a result of reduced oxygen solubility and increased water column stratification (Sabine et al. 2004; Hoegh-Guldberg and Bruno 2010; Pörtner 2014). Temperature is a key driver of ongoing global change.

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Under unabated warming, the global mean ocean surface temperature is expected to increase by 1.8-4 °C by the end of the twenty-first century (Rhein et al. 2013). This will drive shifts in the geographical and depth distribution of species (Beukema et al. 2009; Calosi et al. 2016; Koenigstein et al. 2016), leading to shifts in the composition of marine communities, reduced complexity of benthic habitat and loss of some species (e.g., Garrabeu et al. 2009; Bertolino et al. 2015; Cattaneo-Vietti 2018). The impact of warming on marine organisms is likely to depend on their fundamental thermal niche relative to the realized niche occupied in their current habitats, so that species living near their thermal tolerance limits will be harmed by warming, while those living at temperatures below their thermal optimum may benefit from warming, e.g., through increased growth, reproduction, or recruitment (Shepard et al. 2010).

The changes in the ocean projected for this century and beyond may reach extreme values depending on emissions scenarios and extreme events such as marine heat waves. Similar to projections of ecosystem changes caused by ongoing climate change, past ocean changes of the three drivers of marine global change even larger than those anticipated for the twenty-first century, caused ecological crises characterized by species losses and distributional changes (Bambach et al. 2004; Knoll et al. 2007; Joachimski et al. 2012). During the end-Permian mass extinction 252 million years ago, over 80% of the marine fauna went extinct, as sea surface temperatures increased by up to 11 °C (Wignall and Twitchett 1996; Stanley 2016; Penn et al. 2018). Experimental studies of extant fauna simulating different climate futures support a basic understanding of how climate change will impact marine fauna and how it may have done so in the distant past, assuming similar physiological mechanisms in place. As the capacities of individual species and functional groups to cope with environmental changes may differ, comparative studies of extant relatives of species and groups may provide explanations of ongoing ecological change as well as patterns of extinction as observed in the palaeo-record of past evolutionary crises.

Temperature is an abiotic factor with a pervasive effect on all levels of an animal's physiology and aerobic performance (Hochachka and Somero 2002; Pörtner 2014). The relationship between temperature and organism performance has been described by the oxygen- and capacity-limited thermal tolerance (OCLTT) concept established by Pörtner (2001) and Pörtner et al. (2009, 2017). According to OCLTT, the range of active thermal tolerance is the thermal range of survival at individual to population levels, since maintenance costs and additional functions, such as growth, reproduction, and species interactions are fully covered by aerobic metabolism. The more temperature exceeds the optimum range, the more aerobic power budget decreases beyond pejus limits (conditions are getting worse) due to an over-proportional

rise in energetic costs which demand an increasing fraction of oxygen supply, finally resulting in the onset of anaerobiosis (beyond a critical temperature), and ultimately, death of the organism. Accordingly, the OCLTT concept helps to understand physiological capacities of a species in relation to their environmental niches (Pörtner et al. 2017). Key parameters required to understand the effects of temperature on aerobic power scope include measures of energy demand [such as the oxygen uptake rate (MO<sub>2</sub>)], as well as the traits related to oxygen uptake and distribution (including ventilation rates, cardiac function, and blood oxygen content), ideally combined with whole animal performance indicators such as growth, activity, or reproduction. Further drivers such as ocean acidification or hypoxia may influence these basic responses to temperature as well as the respective OCLTT indicators.

Previous studies have shown a strong positive correlation between MO<sub>2</sub> and heart rate in marine invertebrates (HR, Marshall and McQuaid 1992; Schiffer et al. 2014; Bakhmet 2017), and HR measurements have been used to determine thermal tolerance windows in mollusks (Zittier et al. 2015; Xing et al. 2016). Heart rate (HR) was shown to increase exponentially with increasing temperature until reaching a species-specific break point beyond which HR dropped in mollusks and crustaceans (Widdows 1973; Frederich and Pörtner 2000; Braby and Somero 2006; Xing et al. 2016). The cardiograms of stressed molluscs also displayed arrhythmic and asystolic heart beats (Nicholson 2002; Domnik et al. 2016).

Filtration rate is another important performance indicator in bivalves. Temperature-dependent filtration rate is a major contributor to the aerobic power budget of bivalve mollusks, because filtration is essential for both oxygen uptake at the gills and nutrient delivery. In bivalves, filtration rates are strongly affected by temperature (Møhlenberg and Riisgård 1978; Jørgensen et al. 1990), reflecting altered water viscosity (Petersen et al. 2003) and metabolic capacity (Specht and Fuchs 2018), with consequences for an organism's energy budget. Therefore, the temperature window, where cardiac function and filtration rates can be optimally or at least adequately maintained is linked with the thermal tolerance limit, where long-term energy homeostasis and positive aerobic power scope can be sustained. While the overall metabolic balance involving optimal cardiac performance and oxygen and food uptake (e.g., through filtration) ensures long-term survival and fitness of an organism, the ability to withstand extreme warming (such as can occur during prolonged heat waves) is important in determining the thermal tolerance limits.

During warming, the transition to partial anaerobiosis occurs well before the lethal temperature is reached. In parallel, metabolic depression may reduce energy demand extending survival. Transition to partial anaerobiosis might



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be related to a critical decrease in the oxygen content of the mantle cavity as also seen in the body fluids of other invertebrates (Taylor 1976; Brinkhoff et al. 1983; review by De Zwaan and Wijsmann 1976), reflecting a mismatch between oxygen supply and demand (e.g., Frederich and Pörtner 2000; Melzner et al. 2007; Giomi and Pörtner 2013).

As part of a larger effort to systematically compare the vulnerability of various bivalve groups to climate change (ongoing and during the geological past, DFG-funded Research Group Tersane), we study the development of thermal constraints in the European flat oyster Ostrea edulis (O. edulis, Linnaeus, 1758), a molluscan keystone species that occurs naturally in the North-Eastern Atlantic, from the Norwegian coast down to Morocco, and in the entire Mediterranean Basin (FAO). When measuring survival, filtration rate, heart rate, and cellular biomarkers for anaerobiosis, we follow the hypothesis that physiological principles identified in extant fauna have also shaped the survival, performance, geographical distribution, and demise of related marine fauna in the distant past (Pörtner et al. 2005; Knoll et al. 2007). Responses to climate-induced changes in ambient drivers such as temperature, CO<sub>2</sub> and oxygen (Penn et al. 2018) can in fact constrain geographical distribution. However, associated extinction risks are not sufficiently considered (Finnegan et al. 2015). As a first step, we aim to link the observed temperature-induced changes at different functional levels and define the oyster's fundamental thermal niche for later comparison to other bivalve species and groups in extant fauna and palaeo-analogues. We chose oysters as a model organism, because fossils suggest that this clade shows relatively high tolerance to environmental perturbation as well as strong competitiveness against other molluscan species (Guo et al. 2018).

## Materials and methods

# **Animal origin and maintenance**

Adult, raft cultured *Ostrea edulis* grown in the estuary of Vigo (Spain, *CF-139*: Ría de Ferrol 43° 27.86 N, 8° 11.84 W; *CF-168*; Ria de Ares 43° 24.82, N 8° 09.70 W; and *CF-095* Ria de Ares 43° 24.55, N 8° 10.16 W) and exposed to the full range of natural environmental conditions, were obtained from the Biological Station of Toralla (ECIMAT, University of Vigo) in spring 2017. Environmental conditions at the time of collection were as follows: water temperature ~ 14 °C and a salinity of ~ 33 PSU. Oysters had an average size of  $6.7 \pm 0.5$  cm in length, and  $6.5 \pm 0.7$  cm in width. The mean total weight was  $63 \pm 12$  g. Oysters were wrapped in wet tissues and transported to the Alfred-Wegener Institute Helmholtz Center for Polar and Marine Research (AWI, Bremerhaven) by the air within 24 h after collection. Upon arrival,

the animals were immediately transferred to the institutional aquarium system filled with North Sea water (SW, 12 °C, 32 PSU) and pre-acclimated for at least 2 weeks. They were fed every other day either with a commercial algal blend (Nyos, PhytoMaxx) or a self-cultivated algal mixture containing *Rhodomonas* sp., *Phaeodactylum tricornutum*, *Chaetocerus* sp., and *Isochrysis galbana*. Prior to the experiments, epibionts were carefully removed and each oyster was measured, weighed and individually labelled.

# **Experimental setup and water chemistry**

Randomized groups of labelled oysters were transferred to constant temperature rooms and incubated at 12 °C in recirculating aquarium systems as described in Schalkhausser et al. (2013). Briefly, the system consisted of header, receiver, and reservoir tanks and several experimental tanks, that were either populated with individual oysters (animals used for simultaneous recordings of heart rate, see below) or several oysters (15-20 oysters per 27 L tanks) later used for tissue sampling. Oxygenation in all flow-through tanks was kept > 90% by continuous aeration (see Table 1). Throughout all experiments, oysters were fed by regular addition of a self-cultivated algal mixture. Algal concentrations were maintained at a minimum of 3000 cells mL<sup>-1</sup> SW. Every day, the experimental tanks were checked for mortality and faeces, and cleaned if necessary. After a 3 day pre-acclimation period to allow for recovery from handling, temperature was increased within 5 h overnight by 2 °C once every 48 h and kept at the targeted value  $\pm 0.5$  °C (see Table 1) for 40–43 h. Measurements were performed and samples taken at 14 °C, 18 °C, 22 °C, 26 °C, 30 °C, 32 °C, and 34 °C, respectively. Heart rate was monitored online throughout exposure and analyzed for each 2 °C temperature step. After warming to 36 °C all remaining oysters died within 18 h. This protocol was run in triplicate.

Water temperature, salinity, partial pressures of oxygen  $(PO_2)$ , and carbon dioxide  $PCO_2$ ) were monitored daily. PO2 was determined using O2-optodes (PreSens, Germany), and PCO<sub>2</sub> was measured with a Vaisala device (Vaisala, Finland). The pH was measured using a pH electrode (InLab® Routine Pt1000, Mettler Toledo) calibrated with National Institute of Standards and Technology standard pH buffer solutions (National Bureau of Standards, NBS standards, Fisher Scientific). pH was converted to pH<sub>Freescale</sub> (Waters and Millero 2013) as recommended in the guide for best practice in ocean acidification research (Riebesell et al. 2011). All devices were calibrated at the respective temperatures. The concentration of dissolved inorganic carbon (DIC) was determined using continuous flow analysis (CFA; QuAAtro, Seal Analytical) according to Stoll et al. (2001). Seawater carbonate chemistry parameters (total alkalinity, saturation state  $(\Omega)$  for calcite,



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Table 1 Summary of water chemistry parameters during acute warming exposures

$T_{Nominal}$	T (°C)		Sal (SWU)		$pH_{Freescale}$		PO <sub>2</sub> (% air saturation)		PCO <sub>2</sub> (µatm)		TA (mmol/kg SW)		ΩAragonite		ΩCalcite	
(°C)	Mean	±SD	Mean	±SD	Mean	±SD	Mean	±SD	Mean	±SD	Mean	±SD	Mean	±SD	Mean	±SD
14	14.05	0.34	32.74	1.14	8.185	0.021	91.44	1.09	404	36	2683.99	141.31	3.02	0.17	4.73	0.26
18	18.38	0.19	32.63	0.76	8.105	0.051	96.28	4.48	511	66	2629.19	194.07	2.88	0.49	4.47	0.76
22	22.03	0.23	32.98	0.76	8.031	0.039	97.69	2.01	583	62	2390.51	10.83	2.51	0.19	3.85	0.30
26	26.02	0.48	32.87	0.21	8.048	0.110	95.93	2.10	672	198	2321.84	150.92	2.62	0.81	3.97	1.24
30	29.85	0.57	33.22	0.32	8.027	0.106	94.07	2.60	612	171	2342.06	228.72	3.20	0.80	4.79	1.21
32	32.10	0.47	33.43	0.21	7.99	0.10	91.60	3.24	718	177	2374.16	264.50	3.11	0.84	4.68	1.25
34	34.16	0.38	33.60	0.78	8.056	0.074	92.41	3.19	633	105	2517.92	179.30	3.95	0.74	5.83	1.09

Temperature, salinity, pH<sub>Freescale</sub>,  $PO_2$ , and  $PCO_2$  were determined in water samples collected throughout the exposures. The total alkalinity (TA),  $\Omega$ Aragonite and  $\Omega$ Calcite were calculated using  $CO_2$  sys software. Data are presented as mean  $\pm$  SD (n=18-27)

and aragonite) were calculated using CO2SYS software (Lewis and Wallace 1998) using barometric pressure values, as well as DIC, pH, temperature and salinity values determined for the respective day and temperature step. For calculations, we used K1 and K2 from Millero and DiTrolio (2010), the KSO<sub>4</sub> dissociation constant from Dickson (1990), freescale for seawater pH, and the [B]<sub>I</sub> value from Uppström (1974). Levels of nitrite, nitrate and ammonium were monitored and held below critical concentrations by regular SW exchanges, approximately twice a week. Detailed seawater physiochemical conditions at each temperature step are shown in Table 1.

# **Filtration rate**

Since oysters retained the algae with 100% efficiency, filtration rates (FR) were calculated as the decrease of Rhodomonas sp. concentration over time standardized to soft body dry weight (DW) (Møhlenberg and Riisgård 1978; Nielsen et al. 2017). At each temperature step, the same individuals were placed in separate, temperature-controlled cylindrical 1 L tanks, and allowed to recover from handling stress for 1 h prior to the start of FR determination. Then, Rhodomonas sp. was added (final concentration 20,000 cells mL<sup>-1</sup> to ensure sufficient algae provision for maximal FR) and samples were taken after 0, 15 and 30 min. Gentle bubbling during the experiment prevented the sedimentation of algal cells. Algal concentration was measured with a MultisizerTM 3 Coulter Counter (Beckman; USA) fitted with a 100 µm aperture tube. Separate 1 L tanks fitted with shells only, served as controls for algal sedimentation, which was negligible. Directly after each animals' death, soft body mass was taken and dried for 48 h at 80 °C.

The filtration rate (FR) was calculated according to Coughlan (1969) and is expressed as DW-specific rates (L  $h^{-1}$  gDW<sup>-1</sup>):



$$FR = \frac{V}{(t*n)} * \ln \frac{C_0}{C_t} : DW,$$

where V is the water volume of the experimental tank (L), t is the time (h), n is the number of individuals per tank (here, n=1).  $C_0$  and  $C_t$  are the algal concentrations (cells  $mL^{-1}$ ) at time 0 and t, DW is the dry weight of the soft body mass (g).

## **Heart rate**

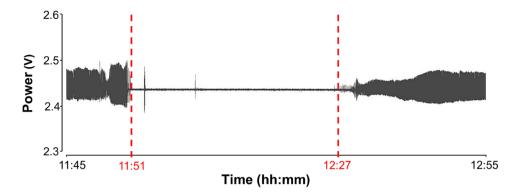
Cardiac activity was measured using photo-plethysmography (Depledge and Andersen 1990; Zittier et al. 2015). The shell above the pericardium was sanded down carefully, and the plethysmograph (iSiTEC, Germany) was fixed (Pattex Ultra superglue, Germany). The signal was amplified (Type HBA-100; iSiTEC, Germany) and recorded with the software Lab-Chart (ADInstruments, Australia). HRs of eight oysters in total were monitored throughout exposure, during 36 h per temperature step. HR data (beats per min, bpm) were analyzed manually, excluding periods immediately after disturbances, such as the presence of researchers close to the experimental setup as well as low signal to noise ratios. This resulted in an analysis of 45 min recordings per temperature step using LabChart 8 Reader (ADInstruments, Australia). The whole plethysmograms of the 36 h recording were checked for pauses in the heart rate (≥ 15 min), which we defined as cardiac arrest (Fig. 1). Periods of cardiac arrest were expressed in % fractions of time in the total 36 h measurement period.

## Tissue sampling and metabolite extraction

For tissue analyses, only open and ventilating oysters were randomly selected, weighed, and dissected on ice (n=6-8). Oysters were checked regularly throughout the sampling day and only oysters that were open for at least 30 min prior to sampling were sacrificed. Tissues (gills,

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Fig. 1 Cardiac arrest of *O. edulis*. Red, dashed lines symbolize the onset and end of a cardiac arrest period. *Y*-axis illustrates the cardiac amplitude in voltage oscillations (V). Single spikes during the cardiac arrest periods are due to technical errors



mantle, hepatopancreas, and muscle) were removed quickly, shock-frozen in liquid nitrogen, and stored at -80 °C for further analyses. Gill metabolites were extracted using the methanol-chloroform extraction technique for small samples as described in Tripp-Valdez et al. (2017). Gill tissue (40-50 mg) were homogenized in ice-cold methanol (8 mL g<sup>-1</sup> tissue) and ice-cold MilliQ water (2.5 mL g<sup>-1</sup> tissue) with Precellys 24 (Bertin Technologies, France) for 20 s at 6000 rpm at 4 °C. Thereafter, chloroform (8 mL g<sup>-1</sup> tissue) and MilliQ (4 mLg<sup>-1</sup> tissue) were added. The homogenate was vortexed, incubated on ice for 10 min, and centrifuged for 10 min at 3000 rpm and 4 °C. The upper methanol layer, containing polar metabolites, was used und dried using a SpeedVac at RT (RVC 2–33 IR, Christ GmbH, Germany) overnight. Dried pellets were stored at 4 °C until further analysis. Prior to analysis each pellet was re-suspended in a defined volume of deuterized water (D<sub>2</sub>O), twofold of the initial tissue weight. D<sub>2</sub>O contained 0.05 wt% of 3-(trimethylsilyl) propionic-2,2,3,3-d4 acid, sodium salt (TSP) (Sigma Aldrich, St. Louis, USA) as an internal standard and chemical shift reference. Final TSP concentration in each sample was 3.2 mM.

# Untargeted <sup>1</sup>H NMR-based metabolic profiling

One-dimensional <sup>1</sup>H-NMR spectroscopy was conducted in an ultra-shielded vertical 9.4 T NMR spectrometer (Advance III HD 400 WB, Bruker-BioSpin GmbH, Germany) using a high-resolution magic angle (HRMAS) probe for small volumes. The suspension was transferred into a standard 50 µl zirconia on rotor of a triple tuneable <sup>1</sup>H-<sup>31</sup>P-<sup>31</sup>C HRMAS probe. Sample spinning rate was 3000 Hz at 20 °C. TOP-SPIN 3.2 software (TopSpin 3.2, Bruker-BioSpin GmbH, Germany) was used for acquisition. The NMR protocol comprised four different techniques as described in detail by Schmidt et al. (2017). The Call-Purcell-Meiboom-Gill (CPMG) sequence was used for metabolite analysis and quantification. Each spectrum was baseline, shim, and phase corrected and calibrated to the TSP signal with the software Chenomx NMR suite 8.1 (Chenomx Inc., Canada).

Thereafter, the <sup>1</sup>H-NMR signals within each spectrum were assigned to metabolites by their chemical shifts using the Chenomx database. After assignment, the integration routine within Chenomx was used for metabolite quantification based on the TSP standard concentration. The concentrations of the proteinogenic branched chain amino acids valine, leucine, and isoleucine were summed for analysis.

# Statistical analysis

The statistical analysis for temperature impacts on heart rate (HR) and filtration rate (FR) was performed with SigmaPlot 3.5 (Systat software Inc.). The temperature-induced changes in HR and FR were detected by one-way repeated ANOVA followed by a pairwise post-hoc Holm–Sidak test. An Arrhenius plot was used to detect discontinuities in the temperature-dependent slopes of HR and the Arrhenius break temperatures (ABTs) were calculated from intersections of linear regressions (Nickerson et al. 1989; Yeager and Ultsch 1989).

The temperature-dependent performance curve of  $FR_F$  was fitted according to Schmalenbach et al. (2009):

$$FR_F(T) = Ae^{-0.5 \left(\frac{\ln\left(\frac{x}{x_0}\right)}{B}\right)^2},$$

where A, B and  $x_0$  are coefficients of variation and x are FRs at ambient temperature.

The temperature-dependent performance curve of  $HR_F$  was fitted according to Pörtner and Knust (2007)

$$HR_F(T) = F_1(T) + F_2(T) = (A_1 e^{B_1 T} + C_1) + (A_2 e^{B_2 T} + C_2),$$

 $F_1$  (T) describes the temperature-dependent increase of HR until maximum.  $F_2$  (T) represents the declining course in HR.

Gill metabolic profiles were analyzed for changes using *Metaboanalyst* (Metaboanalyst 3.6; Xia and Wishart 2016). Compound concentrations were normalized by generalized log-transformation for stabilizing the variance across



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metabolites as described by Purohit et al. (2004). Thereafter, a univariate one-way ANOVA followed by a Tukey's HSD was performed. The multivariate analysis comprised an unsupervised principle component analysis (PCA) aiming to find the directions that best explained the variance in the data set. Furthermore, we performed a supervised partial least–square discriminant analysis (PSL-DA) for class separation taking the weighted sum of squares of the PSL loadings (= Variable Importance in Projection; VIP) into account. The significance threshold was set to P < 0.05.

# Results

# Mortality

Mortality of the oysters throughout exposure was negligible (<7%) until 36 °C was reached. The remaining oysters died during the first 18 h after reaching 36 °C.

Fig. 2 Filtration rate (FR) of *O. edulis*. Each colored point indicates an individual. Boxplots show the variations of FR at the ambient temperature. Asterisk and horizontal line denote a significant difference of FRs at 18, 22 and 26 °C from FRs at lower/higher temperatures (repeated one-way ANOVA, Holm-Sidak Test, F = 8.10, P < 0.001). Nonlinear regressions (dashed line) show the modelled FR after Schmalenbach et al. (2009):

$$FR_F(T) = 8.487e^{-0.5\left(\frac{\ln\left(\frac{x}{20.68}\right)}{0.25}\right)}$$
$$r2 = 0.41, n = 6-7$$

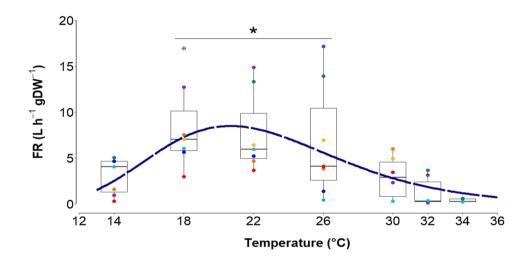
**Fig. 3** Impact of acute warming on heart rate (HR) of. *O. edulis*. HRs of individuals are indicated by different colors. Different letters indicate significant changes between temperature steps (repeated one-way ANOVA, Holm-Sidak Test, F = 96.86, P < 0.001). Nonlinear regression after Pörtner and Knust (2007) is shown as dashed line: HR $_F(T) = (10.83 * e^{0.06097*T} + 3.57e008) + (-0.0215 * <math>e^{0.2177*T} - 3.57e008$ )

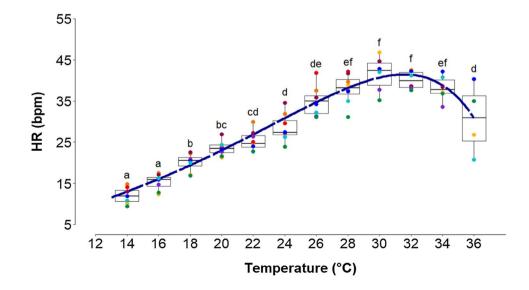
## **Filtration rate**

Filtration rates (FR) of *O. edulis* had an optimum between 18 and 26 °C (Fig. 2; repeated one-way ANOVA, F=8.10, DF=6, P<0.001). Individuals varied more in absolute FR values at 26 °C compared to other temperatures. Mean FR increased from  $3.0 \pm 2.0$  L h<sup>-1</sup> gDW<sup>-1</sup> at 14 °C to a maximum of  $7.7 \pm 4.5$  L h<sup>-1</sup> g DW<sup>-1</sup> at 22 °C followed by a progressive decrease resulting in a minimal FR of  $0.3 \pm 0.3$  L h<sup>-1</sup> g DW<sup>-1</sup> at 34 °C.

## **Heart rate**

Heart rate (HR, Fig. 3) increased from  $11.98 \pm 1.88$  bpm at 14 °C and reached  $41.54 \pm 4.34$  bpm at 30 °C, with a mean linear increase of  $1.85 \pm 0.70$  bpm °C<sup>-1</sup>. Further warming from 30 to 36 °C decreased HR at a rate of  $1.81 \pm 1.66$  bpm °C<sup>-1</sup> leading to an HR of  $30.69 \pm 7.53$  bpm at 36 °C. The calculated Arrhenius break temperature was







 $r^2 = 0.90$ . n = 4-8

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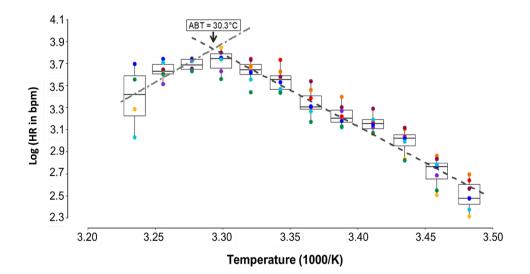
30.33 °C (Fig. 4). From 14 to 26 °C HR showed a regular heart beat rhythm with increasing frequency and amplitude at increasing temperatures (Fig. 5a, b). At 28 °C animals started to show temporary cardiac arrhythmia which was counterbalanced by high cardiac activity up to 30 °C (Fig. 5c). The frequency of arrhythmia increased with rising temperatures, combined with a significantly reduced HR at 36 °C (repeated one-way ANOVA, F=96.86, DF=6, P<0.001).

Ostrea edulis showed temperature-dependent periods of cardiac arrest (Fig. 5d), which could be divided in three phases: From 14 to 22 °C the occurrence of cardiac arrests increased slightly from  $0.2 \pm 0.1\%$  to  $1.3 \pm 0.3\%$  of the recording time. At 24 °C and 26 °C, cardiac arrests reached a maximum at  $3.8 \pm 0.7\%$  of total measurement time (one-way ANOVA, F = 16.154, DF = 11, P < 0.001). Above 26 °C cardiac arrest was rare and only observed at 32 °C (0.15  $\pm$  0.06%) and 36 °C (0.53  $\pm$  0.15%).

## Metabolites

We identified 25 metabolites in the gill extracts of O. edulis (typical  $^1H$ -NMR spectrum shown in Fig. 6). Main compounds were osmolytes and amino acids. Organic osmolytes were taurine, betaine, trimethyl-N-oxide (TMAO) and homarine, with taurine and betaine exhibiting the highest concentrations in all spectra. Free amino acids were alanine,  $\beta$ -alanine, glutamine, glutamate, glycine, valine, isoleucine, leucine, lysine, arginine, homocysteine, and aspartate. Furthermore, we found signals of the adenylates such as ATP and ADP that were, however, not clearly distinguishable and three intermediates of the Krebs cycle: succinate, malate and fumarate, which are biomarkers for anaerobic metabolism. We further identified three membrane related intermediates sn-glycero-3-phosphocholine, choline, and o-phosphocholine.

Fig. 4 Arrhenius plot showing an impact of acute warming on heart rate (HR) of *O. edulis*. Arrhenius Break Temperature (ABT) was analyzed from linear regression lines (dashed lines) intersecting at the respective breakpoint with f (log HR 14–30 °C) = 11.0559+(-2.8519 × Temp),  $r^2$ =0.97, P<0.001; and f(log HR 32–36 °C) = -8.3 74+(3.049×Temp),  $r^2$ =0.87, P=0.2308. n=4-8



UDP-glucose was the only sugar and key metabolite of the carbohydrate pathway identified. The singlet of acetate was assigned despite overlapping with arginine, lysine, and 4-aminobutyrate.

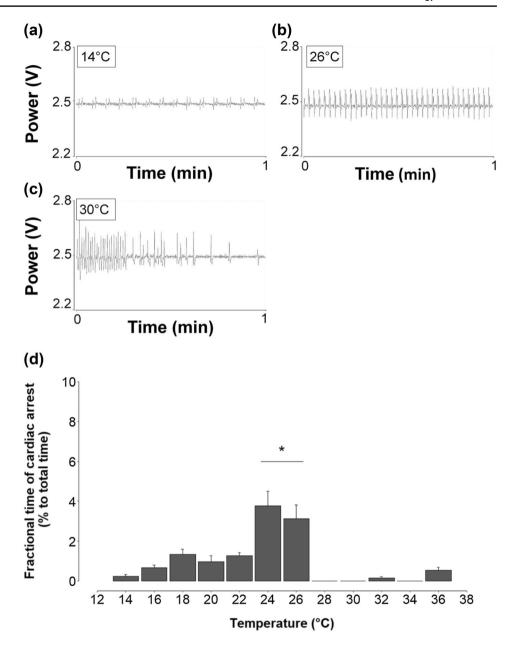
# Metabolite profiles under acute warming

Principal component analysis did not indicate any specific outliers in the sample classes (data not shown). The class membership prediction by PLS-DA revealed that the gill metabolome of O. edulis was homogeneous in bivalves within the temperature range between 14 °C and 26 °C (Fig. 7a). The largest variance across the two principal components was found in the 26 °C group indicating high heterogeneity between samples. Some individual profiles clustered closer to the profiles of samples taken at temperatures below 26 °C, while others were closer to the cluster of samples taken at 34 °C. The metabolome of O. edulis started to shift at 30 °C with a complete separation from all other clusters at 34 °C. The main metabolites explaining the PLS-DA projection (Fig. 7b) show the highest scores for the intermediates related to anaerobiosis such as fumarate, succinate and malate. The univariate analysis complements the PLS-DA results. Alanine, as a marker for early anaerobiosis, increased significantly from the initial value of  $0.46 \pm 0.11$  mM at 14 °C to  $0.84 \pm 0.23$  mM at 26 °C, but showed no further increase with further warming (Fig. 8). The metabolites of late anaerobiosis (succinate, malate, and fumarate) remained at baseline levels  $(0.02 \pm 0.01 \text{ mM})$  for succinate and fumarate, and  $0.1 \pm 0.03$  mM for malate) until 22 °C was reached. At 26 °C all three metabolites increased significantly and reached their maximum concentration at 34 °C with  $0.083 \pm 0.04$  mM (succinate),  $0.36 \pm 0.27$  mM (malate), and  $0.06 \pm 0.02$  mM (fumarate,  $P \le 0.001$ ).



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Fig. 5 Impact of acute warming on cardiac performance of O.edulis. a Rhythmic heartbeat at 14 °C and b rhythmic heartbeat at 26 °C, c distinctly arrhythmic heartbeat at 30 °C, d fractions of cardiac arrest periods in total measurement time (36 h) at each temperature step. HR pauses were defined as cardiac arrest periods of at least 15 min. Asterisk denotes significant differences among temperature steps (one-way ANOVA, Holm-Sidak Test, F = 16.154, P < 0.001). Data are means  $\pm$  SE, n = 4-8



# Discussion

## Filtration and heart rates

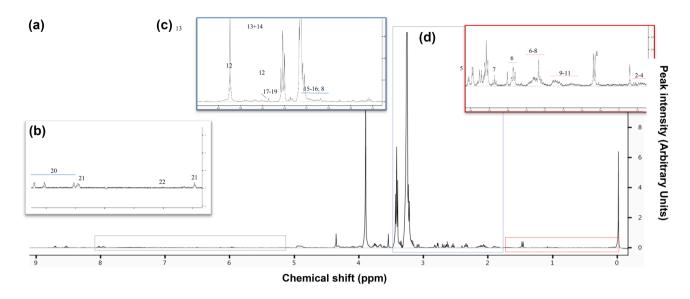
We investigated the response of the European flat oyster, *O. edulis*, to acute warming. Following the OCLTT concept, we aimed to depict the acute thermal tolerance window of *O. edulis* by linking performance indicators, filtration rate (FR), heart rate (HR) and hence, indirectly, oxygen supply, while identifying the thermal constraints to aerobic metabolic performance, as determined from cellular markers for anaerobiosis. In combination with an in-depth-analysis of cardiac online recordings, the present

data suggest not only a physiological, but also a behavioral change of *O. edulis* exposed to warming.

For comparison to literature data, it is important to be sure that FR is determined under optimal conditions, considering methodological, abiotic, and biotic aspects which ensure that bivalves are fully open, and thus, display maximal filtration (Riisgård 2001). FR increased linearly with warming as long as the animals were within their window of thermal tolerance as identified in literature studies (Kittner and Riisgård 2005; Riisgård et al. 2003). Beyond such limits, FR dropped in association with a progressive reduction in valve gape (Jørgensen et al. 1990; Kittner and Riisgård 2005). Overall, the FR of *O. edulis* followed a temperature-dependent bell shaped curve between 14 and 36 °C. Similar

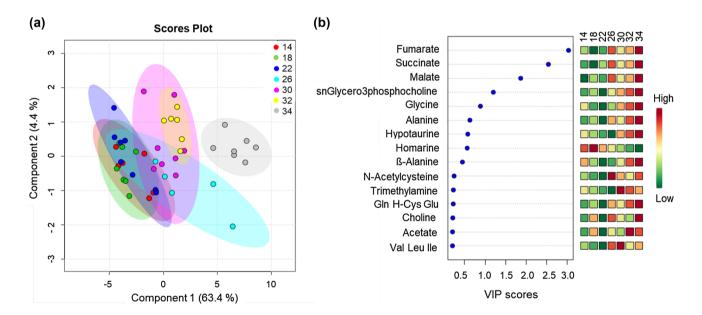


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**Fig. 6** Representative spectrum of gill metabolites depicted in a onedimensional 400 MHz CPMG <sup>1</sup>H–NMR spectrum of a tissue extract from *O.edulis* sampled at 34 °C. **a** Shown are the entire spectra and **b–d** enlarged areas of this spectrum with identified metabolites. Keys: Alanine (1); Valine (2); Isoleucine (3); Leucine (4); Succinate

(5); Glutamate (6); Glutamine (7); Homocysteine (8); Arginine (9); Lysine (10); Acetate (11); Taurine (12); Betaine (13); Trimethylamide-N-oxide (TMAO; 14); Aspartate (15); Hypotaurine (16); Choline (17); O-Phosphocholine (18); Sn-Glycero-3phosphocholine (19); Homarine (20); UDP-Glucose (21); Triphosphate (22)



**Fig. 7** Impact of acute warming on the metabolic profile of gill tissue from *O. edulis.* **a** Score Plot of the PLS-DA model for the normalized concentrations of assigned gill tissue metabolites found in *O. edulis* sampled at 14 °C, 18 °C, 22 °C, 26 °C, 30 °C, 32 °C, and

34 °C. Ellipses correspond to a confidence interval of 95%. **b** Variable Importance in Projection (VIP) are the weighted sum of squares of the PLS loadings

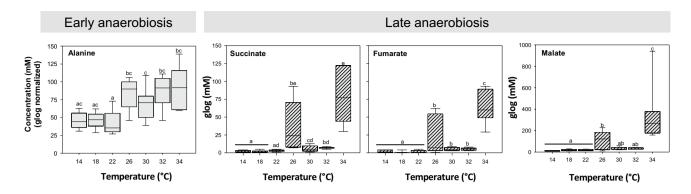
curves were described by Widdows (1976) for *Mytilus edulis* between 10 and 28 °C and by Petersen et al. (2003) for Arctic clams, *Hiatella arctica* and *Mya sp.* between -1 and 11 °C. *O. edulis* filtered at maximum rates between 18 and 26 °C reaching on average  $7.7 \pm 4.5 \text{ L h}^{-1} \text{ gDW}^{-1}$ , which is comparable to the  $7.3 \pm 1.7 \text{ L h}^{-1} \text{ gDW}^{-1}$  at 22 °C when

using *Rhodomonas* cultures (Nielsen et al. 2017). Notably, three out of seven individuals showed impaired FR at 26 °C suggesting a constraining temperature (see "Discussion").

Temperature-dependent changes in the HR of *O. edulis* revealed a warming-induced limitation at higher temperatures than those observed for FR. An Arrhenius break point



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**Fig. 8** Impact of acute warming on the levels of gill metabolites in *O. edulis*. Concentrations (mM) were glog transformed, normalized and analyzed by one-way ANOVA, followed by a post-hoc test. Sig-

nificant differences between data are indicated by different letters (P < 0.05). Data are shown as box plots, n = 5-8

temperature (ABT) of HR was reached at 30 °C. Close to the ABT, we observed onset of cardiac arrhythmia occurring from 28 °C onwards. Warming-induced loss of rhythmic HR has previously been shown for other bivalves (Trueman and Lowe 1971; Braby and Somero 2006; Xing et al. 2016). While monitoring HR we observed infrequent periods of cardiac arrest. Nearly complete suppression of HR occurs with some delay upon valve closure in bivalves (Trueman and Lowe 1971; Lannig et al. 2008).

Notably, at the lower exposure temperatures (14–22 °C), we observed cardiac arrest periods in *O. edulis* that may reflect the natural, rhythmic opening/closure behavior of bivalves. For example, Ortmann and Grieshaber (2003) monitored valve movements of the Asian clam, *Corbicula fluminea*, over a period of 2 years, and showed that valve opening/closure followed a circadian rhythm during summer.

Progressive warming of O. edulis led to extended periods of cardiac arrest at 24 °C and 26 °C, possibly reflecting a behavioral response to less favorable conditions by extended valve closure. During winter season, when temperature (<5 °C) and food availability were very low, Asian clams also showed extended closure periods of several days (Ortmann and Grieshaber 2003). Mytilus galloprovincialis remained open for approximately 90% of the time under favorable conditions and closed its valves for up to 50% of the time when temperatures became unfavorable (Anestis et al. 2007). Benefits for immersed bivalves to remain closed during intervals of poor algal supply or hypoxia are mainly interpreted as energy saving strategies, since upon closure metabolic rate (SMR) is reduced (Jørgensen et al. 1986; Ortmann and Grieshaber 2003; Sokolova et al. 2012; Riisgård and Larsen 2015). However, further warming will cause energy demand to rise with oxygen consumption increasing steadily without leveling off (Newell et al. 1977; Shumway and Koehn 1982; Haure et al. 1998). Accordingly, periods of cardiac arrests ceased in O. edulis above 26 °C, paralleled by an opening of the shell (personal observations) that enables aerobic metabolism to fuel most if not all of the rising energy demand. Previous studies have shown that increasing acclimation temperature elevated the respiratory time activity (RTA, fractional time that animals spend open, ventilating actively) in the Eastern oyster, *Crassostrea virginica* (Lannig et al. 2006). Similarly, periods of cardiac arrest became scarce at temperatures of 28 °C and beyond in *O. edulis* indicating a disruption of the normal valve closing pattern in response to warming for increased oxygen uptake to cover high cellular energy demand.

# **Mode of metabolism**

The main cellular response to warming beyond 26 °C was an increase in the levels of anaerobic metabolites indicating an onset of anaerobiosis with levels peaking shortly before death of the organisms at 34 °C. Anaerobic end product concentrations (e.g., succinate, acetate, or propionate) are highly variable depending on preferred anaerobic pathways; they may also depend on tissue (anaerobic pathways of bivalves reviewed by De Zwaan and Wijsmann 1976; Livingstone 1991; Müller et al. 2012). In gill tissues of O. edulis, succinate was the predominant anaerobic end product. We observed a significant rise of anaerobic end products at 26 °C, 10 °C below the lethal temperature. Interestingly, oysters sampled at this temperature were most diverse in their branchial metabolomic profiles as indicated by the PLS-DA model. Here, the individuals clustered either closer to profiles of lower temperature groups (= performing better), while two samples were closer to the metabolomic pattern found at 34 °C (= performing worse).

The onset of anaerobiosis at 26 °C paralleled the increased cardiac arrest times and may be a response to valve closure and associated oxygen deficiency, as part of the energy saving strategy. In contrast to alanine levels (early anaerobiosis) which remained stable beyond 26 °C, those of succinate (fumarate and malate) showed



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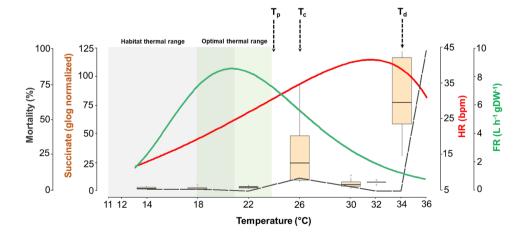
a second, more drastic rise at 34 °C, thereby indicating severe oxygen deficiency, due to an increase in branchial energy demand, cutting back on time for survival. Indeed, further warming to 36 °C resulted in death of all animals.

The average annual SW temperatures in NW Spain (Galicia), where the oysters were collected ranges between 11 and 21 °C with temperatures above 16 °C between May and November (Pazos et al. 1997; www.seatemperature. org). Aquaculture oysters are raft cultured in the estuary and experience the same environmental parameters as wild oysters. Our data indicate an optimal temperature range for the Spanish oyster population between 18 and 24 °C suggested by moderate, rhythmic HR and maximal filtration (Fig. 9) consistent with the prevailing summer temperatures in oyster' habitat. Similarly, a study of the brown mussel *Perna perna* from the Gulf of Mexico, revealed a long-term upper tolerance limit at around 30 °C, congruent with the ambient seasonal SW temperature (Hicks and McMahon 2002).

Notably, European oysters displayed a broad temperature span of 10 °C between  $T_{\rm crit}$  and the lethal temperature, while other studies showed this range to be much narrower. For example, the temperate sublittoral king scallop, *Pecten maximus* displayed an upper limit to its thermal optimum at around 25 °C (Artigaud et al. 2014), and reached the lethal temperature at 26–28 °C (Götze et al. in review). One reason might be, that oysters, being adapted to a highly variable environment, have a broad passive tolerance range to endure abiotic challenges beyond the optimum (Pörtner et al. 2017). This is in agreement with studies showing that the upper thermal tolerance limit of bivalves correlates significantly with habitat (littoral vs. sublittoral) and tidal height distribution (e.g., Wilson 1981; Wilson and Elkaim 1991).

While temperature-induced changes in oxygen consumption and heart rates are positively correlated in marine invertebrates (Marshall and McQuaid 1992; Schiffer et al. 2014; Bakhmet 2017) our data suggest moderate metabolic costs for O. edulis within its thermal optimum. Hence, the thermal limit to HR is not yet reached, leaving some room for an increase in aerobic metabolism, a conclusion supported by an ABT at around 30 °C. According to Guderley and Pörtner (2010), maintenance costs (measured as standard metabolic rate = SMR) are moderate within the optimum range and fully covered by aerobic metabolism; this is paralleled by a maximum scope for growth, with sufficient energy being provided by the aerobic power budget. Furthermore, in NW Spain the predominant phytoplankton blooms occur from May to September (Bode et al. 2005). During these months with ample food supply and favorable ambient temperatures, O. edulis should have a high net gain in body weight. Indeed, earlier studies showed that the scope for growth was maximal at around 15 °C (Newell et al. 1977; Buxton et al. 1981). Accordingly, O. edulis spends most of the year at temperatures within its active thermal tolerance range (Pörtner et al. 2017).

The progressive rise in HR above 22 °C when FR starts to level off indicates a rise in baseline aerobic energy demand which increasingly constrains aerobic performance. This is in line with the study of Buxton et al. (1981) showing that between 20 and 25 °C filtration efficiency declined, while oxygen demand still rose, resulting in a shrinking scope for growth. Consequently, a reduction in valve gape might be a behavioral response to limit food intake and thus digestion costs as maintenance costs rise. This might indicate that *O. edulis* has reached the upper pejus temperature range of OCLTT (conditions getting worse; Pörtner et al. 2017).



**Fig. 9** Acute thermal window of *O.edulis* integrating temperature-dependent mortality (black), temperature-induced changes in filtration rate (FR, green), heart rate (HR, red) and succinate levels (mM, glog transformed) in gills as an anaerobic biomarker (boxplot). The

annual habitat temperature range is highlighted in grey. The derived optimal temperature range is marked in green. Dashed black arrows indicate putative values of  $T_{\rm p}$  (pejus temperature),  $T_{\rm c}$  (critical temperature) and  $T_{\rm d}$  (deadly temperature)

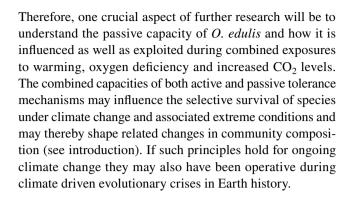


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Further warming (≥ 26 °C) emphasized performance limitations as indicated by a significantly reduced FR, the onset of cardiac dysfunction (e.g., arrhythmia) and paralleled by the accumulation of anaerobic end products. According to OCLTT, the onset of anaerobiosis indicates that an organism surpasses the critical temperature (T<sub>crit</sub>), where survival becomes strictly time-limited due to the mismatch between aerobic energy demand and supply (Pörtner et al. 2017). Gill metabolism indicates T<sub>crit</sub> being surpassed from 26 °C onwards, several degrees below the temperature threshold for cardiac dysfunction. Accordingly, gill functioning seems to be a more sensitive thermal stress indicator than HR. This can be explained by the high metabolic activity and energy demand of gills (see "Discussion"). In its natural environment, O. edulis might undergo partial anaerobiosis at this temperature (26 °C); at the same time the species can survive in a time-dependent manner as indicated by the rhythmic and not yet fully maximized heart rate. This response and associated metabolic depression may support extended passive survival of O. edulis. Finally, arrhythmia and an ABT setting in at around 28-30 °C indicate diminished O2 distribution to tissues associated with limited circulatory performance, and accordingly, cardiac failure corroborating that the upper thermal limit has been reached (Somero 2002). While in other species T<sub>crit</sub> and cardiac ABT are similar cardiac ABT of the oyster is shifted to higher temperatures within the passive tolerance range. Furthermore, anaerobiosis as an alternative energy supply also supports passive survival (Pörtner 2001; Han et al. 2017) until finally a lethal temperature is reached at 36 °C.

## **Outlook**

Our results indicate that O. edulis in NW Spain lives currently largely within its thermal optimum range. This population, however, might be negatively affected in the course of projected ocean warming. SW temperatures exceeding 26 °C may constrain oyster performance unless acclimatization occurs. Elevated temperatures may also make oysters susceptible to bacterial and viral infection (Zannella et al. 2017), leading to altered species composition of communities that may contribute to weaken the European oyster populations (Poloczanska et al. 2013). Further research is needed to understand the long-term effects of thermal stress on this species, and to what extent and how O. edulis is able to resist the warming trend. One crucial factor of resilience is set by the cellular capacity to maintain cellular homeostasis and integrity. Temperature stress induces cellular protective responses such as upregulation of, e.g., heat shock proteins, or antioxidant pathways, which demand energy and diminish growth or reproduction. The sum of cellular protection mechanisms is defined as the capacity of passive tolerance, which is strictly time-limited (Pörtner 2010).



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# **Compliance with ethical standards**

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Conflict of interest Authors declare that they have no conflict of interest.

Ethical approval All applicable international, national, and/or institutional guidelines for the care and use of animals were followed.

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